## CERTIFICATE OF HAND DELIVERY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on September 8, 2003.

In the application of:

Nobuyuki SEKIKAWA et al.

Serial No.: to be assigned (div. of 09/981,889)

Filing Date: September 8, 2003

SEMICONDUCTOR DEVICE

MANUFACTURING METHOD (AS

AMENDED)

Examiner: to be assigned

Group Art Unit: to be assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Commissioner for Patents 2011 South Clark Place, Lobby Crystal Plaza Two, Room 1B03 Arlington, VA 22202

Sir:

For:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the parent application Serial Number 09/981,889, filed October 19, 2001, and, accordingly, copies are not included herewith. This protocol conforms with 37 CFR 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted with the application; accordingly no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit** Account No. 03-1952 referencing 492322002210.

Dated: September 8, 2003

Respectfully submitted,

Barry E. Bretschneider

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Form PTO 1440					Sheet 1 of 1  Docket Number 492322002210 Application Number Not Yet Assigned				
Form PTO-1449					Applicant Applicant				
INFORMATION DISCLOSURE CITATION IN AN APPLICATION					Nobuyuki SEKIKAWA et al.				
(Use several sheets if necessary)					Filing Date September 8, 2003		Group Art Unit Not Yet Assigned		
					Date September 8, 2003				
U.S. PATENT DOCUMENTS									
Examiner Initials	Ref. No.	Date	Document No.		Name		Subclass	Filing Date If Appropriate	
	1.	08-1999	5,936,265	KO	GA				
	2.	11-1997	5,686,754	CHOI et al.					
	3.	05-2002	2002-0057187	SANFILIPO et al.					
	4.	03-1999	5,883,402	OMURA et al.					
	5.	05-2001	6,229,180	YO	SHIDA et al.				
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FOREIGN PATE  Examiner Ref. Date Document No. Initials No.					ENT DOCUMENTS  Country Class Subclass Translation YES NO				
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OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)  Examiner Initials No.									
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in									

conformance and not considered. Include a copy of this form with next communication to applicant.